Europäisches Patentamt

European Patent Office

Office européen des brevets



(1) Publication number:

0 420 425 A2

(12)

## **EUROPEAN PATENT APPLICATION**

(21) Application number: 90309713.7

(51) Int. Cl.5: G06F 15/40

22) Date of filing: 05.09.90

30 Priority: 25.09.89 US 411729

Date of publication of application:03.04.91 Bulletin 91/14

② Designated Contracting States:
DE FR GB

 Applicant: International Business Machines Corporation
 Old Orchard Road
 Armonk, N.Y. 10504(US)

(2) Inventor: Chandrasekaran, Mohan

727 Portswood Drive San Jose, CA 95120(US) Inventor: Obermarck, Ronald L. 584 Marlin Court Redwood City, CA 94065(US) Inventor: Treiber, Richard K. 5018 Treaty Court San Jose, CA 95136(US)

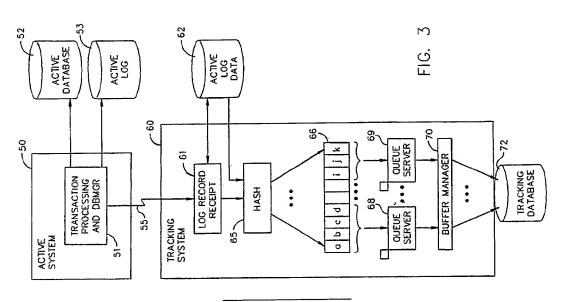
Representative: Moss, Robert Douglas
IBM United Kingdom Limited Intellectual

Property Department Hursley Park Winchester Hampshire SO21 2JN(GB)

A data processing system and method for updating a database therein.

A transaction processing system includes a primary active data base 52 and a tracking replica database 72. Change processing of the replica database is accomplished by separating redo records obtained from the transaction log 53 of the primary database into a plurality of respective queues 66. The redo records are separated such that all transaction records for a unit of transfer (page) of the primary database are placed on the same queue in log sequence. Each queue is linked exclusively to one of a plurality of parallel queue servers 68,69. Each queue server applies to the replica database the redo records in the queues which it exclusively serves. The replica database is thereby made consistent with the primary data by a lock-free updating mechanism which services the pages of the replica database in parallel.





### A DATA PROCESSING SYSTEM AND METHOD FOR UPDATING A DATABASE THEREIN

### **FIELD OF INVENTION**

The invention concerns the maintenance of consistency between a primary database and a secondary database by applying, in parallel to the replica database, records from the log of the primary database.

#### **BACKGROUND OF THE INVENTION**

In the prior art of transaction processing, a primary database may be replicated at a remote location.

The purpose of the remote database may be to provide backup to the primary database in the event of media failure in the primary. In order to ensure consistency between the database and its replica, processing of the primary database est be reflected in the replica database. Throughput for application of record processing to the replica must be at least as good as that achieved by the transaction processing system for the primary database; resource consumption at the replica processing should be lov relative to the consumption of the transaction processing system as a whole. Serialisation is required to ensure that the database is transaction-consistent with, and identical to, the primary database. Availability for the primary database should not be reduced by the addition of transaction processing for the replica.

In the prior art, database replication is exemplified in the use of synchronous distributed database technology. The System R STAR prototype of the IBM Corporation is a synchronous distributed database system which has provision for storing a consistent copy of a database in two locations. However, in their present practice, synchronous distributed database systems require the exchange of a significant number of messages to effect the processing required for maintaining consistency between the databases, and utilize database serialization in the form of locking.

Database media recovery processing includes the well-known forward recovery process in which a database unit is reconstructed by updating an earlier version with recovery data recorded in a log, or journal. In this regard, the original version of the database unit is saved in stable storage. When a failure destroys the current copy of a database, the last current version of the database unit is reconstructed by restoring the saved version of the unit and then applying from the log changes to the saved version in the same order in which they were made to the lost version.

Use of forward recovery in a synchronous distributed database "mirrors" the original transaction processing. Since changes to the primary database are logged in the same sequence in which they occurred, the log data could be processed in parallel by first obtaining the locks originally held, and then passing from the log, the redo records in parallel. In this operation, a single recovery process would handle all records for a given transaction, holding all the locks for that transaction until the reconstruction is completed, and then releasing the locks. This recovery solution is limited by the fact that all locks for a particular transaction must be obtained by a single process to ensure that lock conflicts do not occur that would prevent replication of the original sequencing. This retards parallelism until after locks are obtained, and prevents performance of any work if any lock is unavailable.

In EPA 0295424, use is made of multiple queues of log records to be reapplied to a database during restart processing. It is pointed out in this application that updates to different database units can be applied in different orders than the order represented in the log without violating any correctness properties.

Agrawal, in "A Parallel Logging Algorithm for Multi-Processor Database Machines", DATABASE MA-CHINES: Fourth International Workshop, March, 1985, propounds the parallel writing of log records to multiple logs but, during recovery, processes these logs serially through a single "back-end" processor. In Lyon, "Design Considerations in Replicated Database Systems for Disaster Protection", IEEE Computer Conference, Spring, 1988, it is stated that a remote database facility extracts updates from an active database's journal and applies them to a backup database.

### 50 DISCLOSURE OF THE INVENTION

Accordingly, the invention provides a method for updating a tracking database, comprising the steps of: receiving log records from an active database with which the tracking database is to be maintained consistent; maintaining a plurality of queues of the log records, wherein all log records relating to any selected unit of physical storage are stored on the same queue; and simultaneously applying log records

from two or more of said queues to the tracking database using a plurality of server processes, wherein log records from any one queue are applied to the tracking database by only one server process at any given time.

The invention takes advantage of the inherent sequencing of recovery records on a transaction log to support efficient and highly parallel application of changes that will ensure consistency of a replicated database with an original database.

The invention also provides a data processing system including a tracking database and means for updating said database to maintain consistency with an active database, said means comprising: means for receiving log records from the active database; a plurality of queues; distribution means for placing said log records on said queues such that all log records relating to any selected unit of physical storage are stored on the same queue; and a plurality of queue server means for simultaneously applying log records from two or more such queues to the tracking database, wherein log records from any one queue are applied to the tracking database by only one queue server at any given time.

The active database and the tracking database may be physically separated and need not necessarily be part of the same data processing system, although a data processing system to be used as a transaction processing system will typically include both. The second, or tracking database, is maintained as a replica of of the first, or active database, using a log which contains a sequence of records (redo records) representing changes made to the first database. Typically records are stored in the first database in units of transfer (often called "pages"), in which case it is preferred that all records for any one unit of transfer from the first database are stored on the same queue server in their log sequence. Preferably the distribution of log records to the two or more queues is accomplished by a hash process, and each queue server means updates a unit of transfer from said tracking database by applying to the data records from said unit of transfer changes which are represented by log records for a unit of transfer in the queue linked to the queue server means.

The invention also provides a method for maintaining consistency between an active database and a tracking database in a data processing system that includes a plurality of queue servers, said method comprising the steps of: accumulating a sequence of log records corresponding to changes made to said active database; maintaining a plurality of log record queues, wherein all log records for any selected unit of transfer of said first database are stored on the same queue; and simultaneously applying log records from two or more of said queues to said tracking database, wherein log records from any one queue are applied to said tracking database by only one queue server at any given time.

## BRIEF DESCRIPTION OF THE DRAWINGS

35

40

Figure 1 illustrates a prior art transaction processing system in the form of a database management system;

Figure 2 is a block diagram illustrating details of the database management system of Figure 1;

Figure 3 is a block diagram illustrating a data processing system according to the invention; and

Figure 4 illustrates the structure of a queue used by a data processing system according to the invention.

# **DETAILED DESCRIPTION**

Figure 1 illustrates a prior art database management system which supports transaction processing of database records. In Figure 1, the database management system includes a database manager (DBMGR) 10 which manages access to, and processing of, a database held in stable storage, which can comprise a DASD 12. The database being managed is stored in stable storage in units of transfer. A unit of transfer is the basic unit which the manager will bring out of stable storage for transaction processing. In the prior art, the "page" is the usual unit of transfer. A page corresponds to a physically defined storage area on the DASD 12. One of these storage areas is indicated by 13.

A database unit of transfer is brought out of stable storage 12 and lodged in the buffer (BFR) 14 in active memory. One or more records in the page of memory brought into the buffer 14 is processed and the page is returned to its corresponding storage sector in the stable storage 12. Transfers between the stable storage 12 and the buffer 14 are handled by a buffer manager (BMGR) 16, operating under control of the DBMGR 10.

This basic complement of parts is available to an application program 18 which specifies to the DBMGR a transaction and a subject record. The DBMGR 10 calls the BMGR 16 to transfer to the buffer 14 the page

containing the subject record. When the page is placed in the buffer 14, the subject record is processed according to the transaction required by the application 18, and the updated page is over-written into its corresponding stable storage sector.

A system failure can interrupt the operation of the database system of Figure 1 before an updated page is transferred back to the stable storage 12 from the buffer, after a transaction changing the page in the buffer has been completed. It is this situation which the recovery function of the DBMGR 10 is invoked to rectify.

Recovery processing requires the maintenance of a transaction log 20 in stable storage. Upon the transaction log 20 is recorded every completed transaction in the form of log records. A log record can include UNDO and REDO components. The UNDO component of a log record is a replica of a database record prior to being changed by a transaction. The REDO component is a copy of the record after the change.

As illustrated in Figure 2, a media recovery process 22 performs "forward" recovery using the REDO records of the transaction log 20. As an example, assume that records, identified by record numbers RA-RF are included in the defined data block j (DDB<sub>i</sub>) which is included in a data set stored in the stable storage 12. Assume next that a transaction against records RB-RD of DDB; are to be updated as a result of the transaction. For updating, BMGR 16 transfers the page DDB; to the buffer 14 in real memory, where the records RB-RD are updated, in each case by changing record data CB to CX. During the processing of these records, log entries are made in the sequence in which the records were updated. Each record update results in the writing of an UNDO and REDO record to the transaction log 20. For example, the transaction log entry for updating record RB includes an UNDO record with a field containing the record data before updating (CB) a field identifying the record (RB), and a field containing a relative block number (RBN) which identifies the location of the stable storage page containing the record. The REDO component of the log record includes a field containing the update of the record data (CX) and the RBN and RB fields identifying, respectively, the page and the record. Assuming completion of the transaction or set of transactions which update records RB-RD, BMGR 16 will copy the page DDB<sub>I</sub> to the buffer 14, where the recovery process will use the transaction log REDO records to update records RB-RD. The BMGR 16 will then write the buffer page to the stable storage location for DDB<sub>i</sub>.

It is noted that the media recovery process 22 can also comprise a "backward" recovery process in which records updated by uncompleted transactions will be restored to their prior condition by use of the UNDO records.

Forward and backward recovery functions are available in database products manufactured by the IBM Corporation. Forvard and backward recovery are supported in the database manager of the IBM DB2 and IMS/ESA program products. In these products, UNDO records are logged in addition to REDO records. If a transaction associated with a REDO record ABORTS, the record data from the UNDO record is logged as a REDO record and is applied to the database, thus "backing-out" the original update to the record. In the FAST PATH database manager of the IBM IMS/ESA program products, UNDO records are not logged. Rather, changes are withheld from the database until REDO records have been logged and the updating transactions have completed. In the prior art, successful completion of the transaction is indicated by a COMMIT operation which is recorded by a COMMIT record in the transaction log. If the transaction is abnormally terminated after the record is changed, an ABORT record is entered in the log.

A data processing system according to the invention is illustrated in Figure 3 with a tracking system that operates in conjunction with an active system to maintain a tracking database that is a replica of the primary database maintained by the active system. The active system 50, which can include one of the IBM database products described above executing in a computing system such as the IBM SYSTEM 370 has a system component 51 for conducting transaction processing and database management. The system component 51 maintains and updates an active database 52 and also an active log 53. As REDO records are entered on the active transaction log 53, the system 50 provides those records through a communication component 55 to the tracking system 60. The tracking system 60 includes a process 61 for receiving REDO records written to the active log 53. The process 61 writes the REDO records, in the sequence in which they are entered into the active log 53 onto an active log data facility 62, which can include stable storage, such as DASD. A hash process 65 obtains, in sequence, the REDO records entered into the active log data facility 62 and then queues them onto a plurality of REDO record queues 66.

The hashing process 65 is conventional. In this regard, the hashing process 65 can include a division/remainder process, which operates on the relative block number of each REDO record to create a new key value for each record. Assuming execution of the hashing process 65 in a database management system of the IMS/VS type available from the assignee of this patent application, the database system includes an access method interface (AMI) for moving the REDO records from the queues 66 to

input/output devices. As is known, a subset of the IMS REDO records which the IMS AMI serializes represent the creation of new key values in an index. Since the application of new key values is to a particular index, the hashing process 65 operates such that all "new key" REDO records for a given index are placed on a single one of the queues 66.

The queueing function is implicit in the redo record queues 66, and can include any one of several techniques to ensure proper sequencing of the enqueued records during dequeueing activity by a queue server (described below).

As thus far described, it is guaranteed that all REDO records associated with a single page will be placed on the same queue. It is accepted that the hashing process 65 may result in one queue containing REDO records associated with more than one page; however, the invention ensures that all REDO records associated with the pages which hash to that queue will be attached to it.

Next, it is guaranteed that REDO records are placed on their respective queues and subsequently applied in the same sequence as they are placed on the active transaction log 53.

The second guarantee is carried out by providing only a single queue server for any one of the queues 66. Thus, queues a, b, c, and d in the set of record queues 66 are served only by queue server 68, while queues i, j, and k are served only by the queue server 69. Thus, each one of the record queues 66 has only a single queue server. However, a single queue server can process records from more than one queue.

The queue servers, such as servers 68 and 69, operate to dequeue REDO records which are on the queues 66 and apply those REDO records to the tracking database 72 through the buffer manager 70. The buffer manager 70 is conventional, as is the tracking database 72. Conventional channelized I/O serves to buffer REDO records from the record queues 66 to stable storage containing the tracking database 72.

Once commutated to the queues 66, the REDO records are applied to the tracking database 72 by the queue servers. For example, the REDO records in queue b are obtained, one-by-one, by the queue server 68 and then used to update their respective pages. The sequence in which these changes occurred in the active system is preserved on the active transaction log 53, through the record transfer process to the tracking system 60, and on the active log data set 62. The records are hashed in their record log sequence onto the record queues 66. Consequently, the correct chronological update activity for each unit of transfer (page) is reflected by the REDO record sequence on the queue associated with the unit of transfer.

As Figure 3 illustrates, the queue servers 68 and 69 operate in parallel. Such parallel operation can be supported by provision of, for example, different CPUs in a multi-CPU computer facility. Thus, each of the queue servers 69 removes REDO records from the queues that it serves and applies those records to the tracking database 72. Assuming once again for example that the queue servers operate in the IMS/VS environment, the buffer manager 70 will be called by a server to obtain a buffer containing the current value of the block identified by the relative block number of the REDO record currently held by the server.

Conventionally, the buffer manager 70 either finds the block in its pool or transfers it from the tracking database 72 to active storage for application of the record. The queue server applies the redo record to the page contained in the buffer and returns the page to the buffer manager 70, which then writes the page to the appropriate sector in the tracking database 72.

While redo records are being applied to the tracking database 72, the database state is inconsistent in that at any given instant, only a portion of the changes made by a transaction have been applied to a page. Since there are no locks held to shield these inconsistencies, normal database services must be denied access to the tracking database 72. For disaster recovery applications, this presents no drawback since consistency is only required at the point the disaster occurs, and recovery using the tracking database 72 is initiated. In other respects, database consistency can be obtained at any time using a method that takes into account the recovery mechanisms used in the active system. For example in a database system in which UNDO records are not logged, the hashing process 65 must hold REDO records from the active log database 62 until a transaction COMMIT is detected. Then the hashing process will enqueue the REDO records associated with the transaction into the redo record queues 66. To obtain a transaction consistent state for the database 72, processing of active log data will be stopped until all REDO record queues are empty.

For a database manager whose log records include UNDO and REDO records, the REDO records are enqueued as they arrive and, therefore, applied to the tracking database 72. To obtain transaction consistency, active log data processing is halted when all REDO record queues are empty and standard backout logic for all uncommitted transaction is performed by applying UNDO records through the queues 66 for all uncommitted transactions in reverse sequence.

50

As thus described, a data processing system according to the invention provides significant advantages over alternative procedures for maintaining tracking databases. Foremost, synchronization is not required between active database updates and update of the tracking database. Availability and performance benefits

flow from this: update of the active database can occur even if the tracking database is not available; transfer of REDO records to the tracking database can be blocked or batched for efficiency. Of equal significance is the elimination of the requirement for serialization, thus avoiding cost and delay. Since typical database support waits when input or output are required, the multiple parallel queue servers are able to acquire parallel I/O resources to speed up the application of queued records to the tracking database. The data processing system of figure 3 is easily implemented and, as those skilled in the art will appreciate, enjoys the benefits of low pathlength since locking is not required, and high throughput based on parallelism of the queue servers.

Figure 4 illustrates the queue structure in the data processing system of Figure 3. In Figure 4, a REDO record 105 is passed to a process QUEUE\_REDO\_RECORD 110. The process 110 passes the REDO record 105 to the appropriate queue, while preserving the original transaction log sequence to ensure a correct database. The process 110 includes a hashing procedure that divides the record block number (RBN) of the REDO record 105 by a number n in a data object 122 which is labelled NUMBER\_OF\_QUEUES. The index obtained is used with a WORK\_QUEUE\_TABLE 123 to identify a specific WORK\_QUEUE\_ENTRY 125. The indexed WORK\_QUEUE\_ENTRY 125 has pointers 127 and 129 to the last and first REDO record 130 in the particular record queue headed by the WORK\_QUEUE\_ENTRY 125. The WORK\_QUEUE\_ENTRY 125 also includes a SERVER\_PROCESS 128 pointing to a PROCESS\_INFO control block for a queue server process 175. The queue server process 175 is awakened by setting the RESUME\_STATUS flag 172 in the PROCESS\_INFO control block for the server. It is assumed here that each queue server has its own PROCESS\_INFO control block, and that each WORK\_QUEUE\_ENTRY identifies only a single queue server by way of its SERVER\_PROCESS field.

Refer now to Table I for an illustration of the QUEUE\_REDO\_RECORD process 110.

25

```
TABLE I
QUEUE REDO RECORD
```

```
QUEUE REDO_RECORD(redo_record).
                 /* Input is a REDO record, this routine is
                 /* executing under the log record receipt process/
30
           201
                   index =
                  REMAINDER(redo record.block_number,number_of_queues).
           202
                   retry20:
           203
                   temp =
                  work queue table(index).work queue_entry.last_redo.
35
                   redo record.next_redo = temp.
            204
                   CS(temp, POINTER(redo_record),
            205
                   work queue table(index).work_queue_entry.last_redo).
                   /* Atomically add the REDO record to a last-in, */
                      first-out chain of REDO records off of the */
40
                      work queue associated with the REDO record. */
                   IF fail = ON THEN
            206
                     GOTO retry20. /* atomic operation failed
                                                                     */
            207
                   IF work queue table(index).work_queue_entry.server
            208
                   _process process_info.resume_status= OFF THEN
45
                      RESUME(work_queue_table(index).work_queue_
                      entry.server process).
                    /* if server process for this work queue may
                    /* suspend, resume it to process the added work*/
                    END QUEUE REDO RECORD.
             */209
50
```

Table I implements the QUEUE\_REDO\_RECORD process 110 in conventional pseudo code. The function of this procedure is to pass a REDO record to the appropriate queue server process, while preserving the original transaction log sequence. In a preferred embodiment of the invention, the REDO record is placed on an appropriate WORK\_QUEUE and execution of the associated queue server process is resumed if the process is suspended or may suspend without examining the appropriate WORK\_QUEUE.

### EP 0 420 425 A2

In Table I, statement 201 identifies the specific WORK\_QUEUE\_ENTRY 125 by obtaining an index into the WORK\_QUEUE\_TABLE 123. The index is generated by dividing the REDO record RBN by the value in the NUMBER\_OF\_QUEUES data object 122 and using the remainder as the index. The required property of the hashing technique is that all REDO records that alter the same database block (page, or unit of transfer) have the same hash value, which will index those records to the same WORK\_QUEUE.

Statements 202-207 use the well-known compare-and-swap instruction to place the new REDO record in an ordered WORK\_QUEUE. The ordering in the queue is, preferably, last-in-first-out. Statement 208 checks to see if the queue server process associated with the WORK\_QUEUE has suspended execution before detecting the work just added to the queue. If suspension is possible, an operating system RESUME function would be issued to set the RESUME\_STATUS flag 172 ON and resume execution of the queue server process 175 if it has suspended or is suspending operation. Those skilled in the art will appreciate that the combination of queueing work using an atomic instruction of the compare-and-swap kind and resuming execution is a well-known technique for passing work from one process to another. Process suspension and resumption are standard services provided by conventional computer operating systems.

Refer now to Table II for an illustration of the QUEUE\_SERVER 175 of Figure 4.

15

35

40

45

50

55

## TABLE II QUEUE SERVER

```
QUEUE SERVER(first_entry_index,number_of_entries,
           300
20
                  process info).
                /* This routine can apply redo records from one or
                /* more work queues in the work_queue table.
                /* The process under which this routine is invoked
                /* is the only process serving these particular
25
                /* work queues.
                  last_entry_index = first_entry_index + number
           301
                  of entries - 1.
           302
                  top:
                  process_info.resume_status = OFF.
           303
30
                    DO index = first_entry_index TO last_entry_index
           304
```

7

### EP 0 420 425 A2

```
IF work_queue_table(index).work_queue_entry.last_
          305
                    redo is not null THEN
          396
                      /* work has been found, do not suspend at end */
5
                      /* of this pass through the queues.
                      Atomically remove entire list from
          307
                      work queue table(index).work_queue_entry.
                      last redo.
                      /* The list just removed is in LIFO order.
                                                                      */
10
                      Reorder the list of redo records to FIFO order
          308
                      chained off of work_queue_table(index).work_
                      queue entry.first redo.
                      /* We now have one or more redo records
                                                                      */
                      /* chained in the order that we must process
15
                      /* them.
                        DO WHILE(work queue table(index).work_
           309
                        queue_entry.first_redo is not equal to null).
                        Remove first redo_record from
           310
                        work_queue_table(index).work_queue-entry.first
20
                        redo.
                        block_pointer = OBTAIN_BLOCK(redo-record.
           311
                        database_name, redo_record.block_number).
                                                                      */
                        /* Obtain a pointer to a copy (located in
                        /* main memory) of the physical block to
25
                        /* which the changes in the redo record
                         /* apply.
                        Alter the block using redo_record.redo_data.
           312
                        RELEASE BLOCK(block_pointer).
           313
                         /* Allow the block to be written to stable
30
                                                                      */
                         /* storage such as a disk device.
                                                                      */
                         END. /* apply REDO records for a queue
           314
                              /* found a queue with work.
                      END.
           315
                              /* loop through queues.
                    END.
           316
                  IF process_info.resume_status = OFF THEN
           317
35
                     SUSPEND(process_info.resume_status).
                   /* wait for work if none could have been queued
                                                                       */
                   /* while we were checking the queues.
           318
                  GOTO top.
                   /* We do not show logic to stop this process. */
40
                END QUEUE SERVER
           319
```

In order to apply REDO records to the tracking database 72 of Figure 3, one or more queue server processes are required. In a reasonably large system, multiple processes will be required in order to apply records at a rate approaching the rate at which the active system 50 creates them. The prior art includes the capability of dynamically determining and changing the number of queue server processes according to system activity. Further, assignment of a particular work queue to a particular server process can be changed for load balancing using known functions. Table II simplifies the queue server logic for illustration purposes, showing an implementation where the NUMBER\_OF\_QUEUE\_SERVER\_PROCESSES 120 is fixed at a value, m, chosen by the installation and assignment of queues to queue server processes is done at system initialization.

Although Figure 4 does not illustrate the parallel queue server architecture of Figure 3, it is understood that the Figure, in combination with Table II, demonstrates the function and structure of a single queue server which can be replicated to provide the parallelism in the tracking system 60 of Figure 3.

At any one time, each queue server process has the sole responsibility for one or more of the work queues. The number of queues, then, is greater than or equal to the number of queue server processes.

In the preferred embodiment, a simple incremental loop can be used to start the server processes,

assigning to each one or more specific work queues, beginning with work queue 0 and ending with work queue n-1 where n is the magnitude of the data object 122. Each process will have an associated PROCESS\_INFO control block that can be located from the field 128 of a WORK\_QUEUE\_ENTRY such as 125. When each process is given control, it invokes the QUEUE\_SERVER of Table II, passing it the work queue index value, 0 to n-1, (assigned to the process) a number\_of\_entries value of 1, and its PROCESS\_INFO 172.

As explained above, Table II shows an implementation of a queue server in conventional pseudo code. The function of the procedure is to apply REDO records to the appropriate tracking database blocks such that, when all REDO records have been applied, the content of the tracking database will be the same as the content of the active database.

Statement 303 sets RESUME\_STATUS 172 to OFF to ensure that any subsequent addition of REDO records by a process 110 will cause the process 175 to RESUME in case the process 175 had already looked for records before they were added to a queue.

Statement 304 initiates a loop for examining each WORK\_QUEUE\_ENTRY in the Table 125 for which the process 175 is responsible. Statement 305 checks a particular WORK\_QUEUE\_ENTRY for REDO records that must be applied. If there are REDO records enqueued, an atomic instruction sequence is used to remove all records from the multi-process shared list header LAST\_REDO 127. Once removed, the list is processed to reverse the sequence of the records, while chaining them off of the unshared list header FIRST\_REDO 129. The list of records beginning at FIRST\_REDO 129 will then contain REDO records for particular database blocks (pages) such that changes are applied to a particular block (page) in the same sequence as they were applied in the active system.

Statements 309-314 remove REDO records from the list in sequence and apply their REDO\_DATA fields 138 to the appropriate database identified in the DATABASE\_NAME field 134 of the record. Statement 311 shows the use of an OBTAIN\_BLOCK function to locate a main memory copy of the desired database block. The OBTAIN\_BLOCK and RELEASE\_BLOCK functions are typical of database system buffer management components in that they manage a pool of buffers, reading and writing from the stable copy of databases on external media, such as DASD. While the logic of the active system 50 would serialize access to a particular database block in the active database 52 by using a lock, the logic of the tracking system 60 requires no serialization of access to the particular database block since only a single queue server process will access this particular database block. Statement 312 applies changes from REDO\_DATA field 138 to the memory copy of the database block.

Statement 317 invokes the operating system's SUSPEND function if the QUEUE\_REDO\_RECORD process 110 had not queued additional REDO records and RESUMED (statement 208 in Table I) the queue server process, since the queue server process set RESUME\_STATUS to OFF in statement 203. If RESUME had been issued already, or when it is issued, Statement 318 is reprocessed and causes the Table II queue server to continue serving queues by looping through statement 302.

### Claims

1. A method for updating a tracking database (72), comprising the steps of:

receiving log records from an active database (52) with which the tracking database is to be maintained consistent;

maintaining a plurality of queues (66) of the log records, wherein all log records relating to any selected unit of physical storage are stored on the same queue; and

- simultaneously applying log records from two or more of said queues to the tracking database using a plurality of server processes (68, 69), wherein log records from any one queue are applied to the tracking database by only one server process at any given time.
- 2. A method according to claim 1, wherein the log records are distributed between the queues using a hash process (65).
  - 3. A data processing system including a tracking database (72) and means (60) for updating said database to maintain consistency with an active database (52), said means comprising: means (61) for receiving log records from the active database;
  - a plurality of queues (66);
  - distribution means (65) for placing said records on said queues such that all log records relating to any selected unit of physical storage are stored on the same queue; and
    - a plurality of queue server means (68, 69) for simultaneously applying log records from two or more such queues to the tracking database, wherein log records from any one queue are applied to the tracking

### EP 0 420 425 A2

database by only one queue server at any given time.

- 4. A data processing system according to claim 3, wherein said distribution means (65) is a hashing process.
- 5. A data processing system according to claim 3 or 4, further comprising the active database, in which data records are stored in units of transfer, and wherein all log records corresponding to a uni of transfer from said active database are stored on the same tracking database queue in their log sequence.
- 6. A data processing system according to Claim 5, in which each of said queue server means updates a unit of transfer from said tracking database by applying to the data records in a unit of transfer changes which are represented by the log records for a unit of transfer in the queue linked to said queue server means.
- 7. A data processing system according to claim 5 or claim 6, wherein said data processing system is adapted for transaction processing and said log is a transaction log.
- 8. A method for maintaining consistency between an active database (52) and a tracking database (72) in a data processing system that includes a plurality of queue servers (68, 69), said method comprising the steps of:
- accumulating a sequence of log records (62) corresponding to changes made to said active database; maintaining a plurality of log record queues (66), wherein all log records for any selected unit of transfer of said first database are stored on the same queue; and
- simultaneously applying log records from two or more of said queues to said tracking database, wherein log records from any one queue are applied to said tracking database by only one queue server at any given time.

25
30
35
40
45
50
...

